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EMNLP 2021

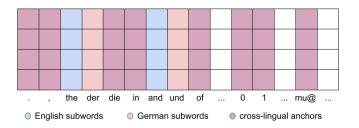
Shared Subword Vocabularies

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Subwords that appear in several languages (e.g shared words, punctuation, digits) function as **anchors** between languages that lead to improved performance (Conneau and Lample 2019).



Limitations of shared vocabularies

False positives

Identical subwords with different meanings,

e.g. die is a definite article in German and a verb in English

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False negatives

Different subwords with similar meanings,

e.g. and in English is the same as und in German

Create cross-lingual vocabularies that are parameter-efficient and exploit the similarity of concepts between different languages. Address the problem of false positives and false negatives by employing subword similarity to create cross-lingual anchors.

- Subword Mapping
- Anchoring of Similar Subwords

the
$$\Leftrightarrow$$
 der
in \Leftrightarrow in
and \Leftrightarrow und
is \Leftrightarrow ist

Examples of alignments produced by SMALA

Subword Mapping

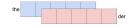
Learn separate subword vocabularies in each language.

| L1 vocab | |
|----------|----------|
| the | L2 vocab |
| | der |
| in | |
| and | und |
| | die |
| game | |
| mu@@ | spiel |
| | at@@ |
| | |

Subword Mapping

Learn separate subword vocabularies in each language. ↓ Obtain subword representations using a distributional method, FastText (Bojanowski et al. 2017).

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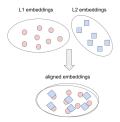


Subword Mapping

Learn separate subword vocabularies in each language. Obtain subword representations using a distributional method, FastText (Bojanowski et al. 2017). Align the subword representations using unsupervised alignment approach, VecMap (Artetxe et al. 2018).

| L1 vocab | |
|----------|----------|
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| | |





Anchoring of Similar Subwords

Compute a similarity matrix from the aligned subwords.

| | | | der | die | und | a1@@ | |
|------|------|------|------|------|------|------|--|
| the | 0.66 | 0.62 | 0.88 | 0.82 | 0.65 | | |
| , | 0.73 | 0.86 | 0.65 | 0.64 | 0.77 | | |
| | 0.88 | 0.68 | 0.66 | 0.63 | 0.72 | | |
| of | 0.62 | 0.65 | 0.78 | 0.67 | 0.68 | | |
| in | 0.68 | 0.62 | 0.70 | 0.65 | 0.67 | | |
| mu@@ | | | | | | | |
| | | | | | | | |

Anchoring of Similar Subwords

Compute a similarity matrix from the aligned subwords.

Extract subword alignments between two subwords $w_i^{L_1}$ and $w_j^{L_2}$ if and only if $w_j^{L_2}$ is the most similar subword to $w_i^{L_1}$ in \mathcal{L}_2 and vice versa (Jalili Sabet et al. 2020).

| | | | der | die | und | at@@ | |
|-----|------|------|------|------|------|------|--|
| the | 0.66 | 0.62 | 0.88 | 0.82 | 0.65 | | |
| , | 0.73 | 0.86 | 0.65 | 0.64 | 0.77 | | |
| | 0.88 | 0.68 | 0.66 | 0.63 | 0.72 | | |
| of | 0.62 | 0.65 | 0.78 | 0.67 | 0.68 | | |
| in | 0.68 | 0.62 | 0.70 | 0.65 | 0.67 | | |
| u@@ | | | | | | | |
| | | | | | | | |
| | | | | | | | |

Anchoring of Similar Subwords

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Tie the parameters (embeddings) of aligned subwords \rightarrow cross-lingual anchors based on similarity.

| | | | der | die | und | at@@ | |
|-----|------|------|------|------|------|------|--|
| the | 0.66 | 0.62 | 0.88 | 0.82 | 0.65 | | |
| , | 0.73 | 0.86 | 0.65 | 0.64 | 0.77 | | |
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| u@@ | | | | | | | |
| | | | | | | | |



XNLI \rightarrow determining whether a "hypothesis" is true (entailment), false (contradiction), or undetermined (neutral) given a "premise":

Language Model (LM) Transfer with SMALA:

- \bullet Start from a pretrained monolingual (\mathcal{L}_1) LM
- \bullet Add new embedding matrix for \mathcal{L}_2 and create cross-lingual anchors based on SMALA
- Further train model on Masked Language Modelling in $\mathcal{L}_1\&\mathcal{L}_2$
- \bullet Fine-tune model on XNLI using data in \mathcal{L}_1
- Zero-shot inference on \mathcal{L}_2

Comparison to other methods:

- Parameter sharing
 - based on surface form: JOINT
 - based on similarity: OURS

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 - without any sharing: RAMEN (Tran 2020)
 - with sharing: OURS+ALIGN

Comparison to other methods:

- Parameter sharing
 - based on surface form: JOINT
 - based on similarity: OURS
- Initialization-based approaches
 - without any sharing: RAMEN (Tran 2020)
 - with sharing: OURS+ALIGN
- Multilingual Language Models
 - mBERT (Devlin et al. 2019)

Experiments with XNLI: Results

| Method | Es | De | El | Ru | Ar |
|--------|------|------|------|------|------|
| JOINT | 70.0 | 64.4 | 61.2 | 56.2 | 45.8 |
| OURS | 74.2 | 70.6 | 70.0 | 65.4 | 62.3 |

Zero-shot classification scores on XNLI test set (Accuracy).

• sharing based on similarity > sharing based on surface form

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| JOINT | 70.0 | 64.4 | 61.2 | 56.2 | 45.8 |
| OURS | 74.2 | 70.6 | 70.0 | 65.4 | 62.3 |
| OURS+ALIGN | 76.5 | 72.8 | 72.9 | 70.2 | 67.0 |
| RAMEN | 76.5 | 72.5 | 72.5 | 68.6 | 66.1 |

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- better anchoring leads to more parameter-efficient vocabularies without sacrificing performance

Experiments with XNLI: Results

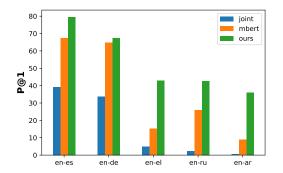
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| RAMEN | 76.5 | 72.5 | 72.5 | 68.6 | 66.1 |
| mBERT | 74.9 | 71.3 | 66.6 | 68.7 | 64.7 |

Zero-shot classification scores on XNLI test set (Accuracy).

- sharing based on similarity > sharing based on surface form
- better anchoring leads to more parameter-efficient vocabularies without sacrificing performance
- competitive alternative for languages that are poorly modeled or not covered at all by multilingual LMs

Experiments with BLI: Results

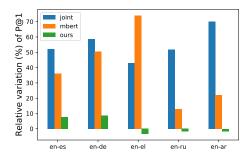
We compare the quality of representations created using SMALA vs. joint tokenization for Bilingual Lexicon Induction



• SMALA significantly **outperforms** JOINT and mBERT especially in more distant languages.

Experiments with BLI: Results on non-identical pairs

We remove test pairs with the same surface form (e.g. (epic,epic) as a test pair for en-es)



- performance of JOINT and mBERT **deteriorates**, contrary to SMALA
- representations for the non-shared subwords are poorly aligned for JOINT and mBERT

Experiments with MT: Results

| Languages Data | En-Ru 25M | | En-De 5.85M | | En-Ro 612k | | En-Ar 239k | |
|-------------------|--------------|---------------|----------------|---------------|---------------|---------------|---------------|---------------|
| | \leftarrow | \rightarrow | \leftarrow | \rightarrow | \leftarrow | \rightarrow | \leftarrow | \rightarrow |
| JOINT | 30.0 | 26.1 | 32.1 | 27.1 | 30.9 | 23.2 | 29.0 | 11.8 |
| OURS | 30.2 | 26.6 | 32.1 | 27.0 | 30.8 | 23.3 | 28.8 | 12.2 |

BLEU scores of baseline and our system for machine translation.

- comparable results to the baseline across languages and dataset sizes
- slight increase in distant language pairs (En-Ru and En-Ar)
- false positives/ negatives are less important due to strong cross-lingual signal (parallel data)

Experiments with MT: Ablation of FPs and FNs

| Languages | En-Ru | | En-De | | En-Ro | | En-Ar | |
|-----------|--------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|
| | \leftarrow | \rightarrow | \leftarrow | \rightarrow | \leftarrow | \rightarrow | \leftarrow | \rightarrow |
| Sentences | 49 | 2225 | 1674 | 2216 | 1249 | 1295 | 141 | 866 |
| JOINT | 39.2 | 27.6 | 33.1 | 27.0 | 31.6 | 24.6 | 37.8 | 16.2 |
| OURS | 42.2 | 28.0 | 33.0 | 27.0 | 32.0 | 24.8 | 40.4 | 16.6 |
| Δ | +3.0 | +0.4 | -0.1 | 0.0 | +0.4 | +0.2 | +2.6 | +0.3 |

BLEU scores for sentences where 50% of tokens are false positives and / or false negatives.

• when number of false positives/ negatives increases our approach outperforms JOINT

SMALA: a novel approach to construct shared subword vocabularies.

- Improved performance in cases where there is no cross-lingual signal, such as XNLI.
- Viable alternative in cases with cross-lingual supervision, such as MT & improved performance in presence of multiple false positives/ negatives.

Future Work

We aim to:

- apply SMALA in settings of varying cross-lingual supervision where anchors play an important role, such as unsupervised machine translation,
- explore the quality / quantity trade-off of cross-lingual anchors and
- extend our approach to more than two languages.

Thank you for your attention!

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| Method | Es | De | El | Ru | Ar |
|--------|-----|-----|-----|-----|-----|
| JOINT | 26% | 25% | 11% | 9% | 10% |
| OURS | 44% | 37% | 33% | 31% | 30% |

Percentage of cross-lingual anchors for each method (shared subwords).

• OURS is more parameter-efficient than JOINT especially for distant languages

| Method | Data | Es | De | El | Ru | Ar |
|------------|------|-------------------|----------------|-------------------|-------------------------|-------------------------|
| JOINT | mono | 70.0 ± 0.2 | 64.4 ± 0.8 | 61.2 ± 0.9 | 56.2 ± 1.1 | 45.8 ± 0.4 |
| OURS | mono | 74.2 ± 0.4 | 70.6 ± 0.1 | 70.0 ± 0.7 | 65.4 ± 0.9 | 62.3 ± 0.4 |
| OURS+ALIGN | mono | 76.5 ± 0.4 | 72.8 ± 0.5 | 72.9 ± 0.5 | 70.2 ± 0.6 | 67.0 ± 0.4 |
| OURS+ALIGN | para | 77.1 ± 0.8 | 74.1 ± 0.5 | 75.1 ± 0.7 | $\textbf{71.9} \pm 0.4$ | $\textbf{67.8} \pm 0.8$ |
| RAMEN | mono | 76.5 ± 0.6 | 72.5 ± 0.8 | 72.5 ± 0.8 | 68.6 ± 0.7 | 66.1 ± 0.8 |
| RAMEN | para | 77.3 ± 0.6 | 74.1 ± 0.9 | 74.5 ± 0.6 | 71.6 ± 0.8 | $\textbf{68.6} \pm 0.6$ |
| mBERT | mono | 74.9 ± 0.4 | 71.3 ± 0.6 | 66.6 ± 1.2 | 68.7 ± 1.1 | 64.7 ± 0.6 |

Zero-shot classification scores on XNLI test set (Accuracy): mean and standard deviation over 5 runs, when either monolingual or parallel corpora were used for alignment (or token matching for JOINT).

• use of parallel data improves results across the board

| Method | Es | De | El | Ru | Ar |
|-----------------|------|------|------|------|------|
| JOINT | 70.0 | 64.4 | 61.2 | 56.2 | 45.8 |
| -FP | 68.5 | 61.7 | 62.6 | 53.6 | 44.8 |
| -FN | 74.3 | 70.0 | 70.2 | 65.8 | 63.1 |
| OURS $(-FP-FN)$ | 74.2 | 70.6 | 70.0 | 65.4 | 62.3 |

Effect of removing false positives or false negatives in XNLI (accuracy).

• false negatives impact performance more than false positives